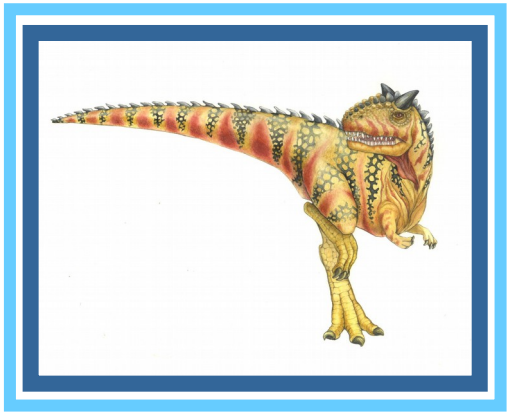
Operating system



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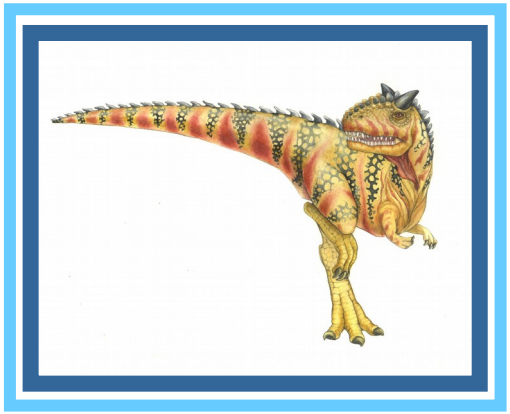
The Course Plan



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Textbook

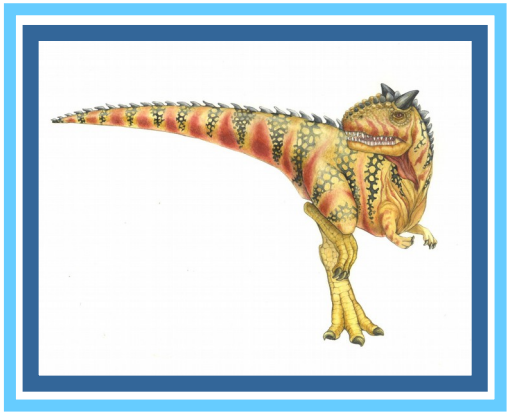
Textbook(s)			
Title	Operating System Concepts		
Author (s)	A. Silberschatz, P. B. Galvin, and G. Gagne	Publisher	Prentice Hall
Edition	9th Edition	Year	2012

Course Title	Operating system	Course Code	901332
Coordinator	Dr. Najah Al-shanableh	Prerequisite(s)	or 901320 902220
E-mail	Najah2746@aabu.edu.jo	Credit Hours	3
Office Hours	Sunday and Tuesday : 9:30 -11:00 am Monday and Wednesday: 11:00 am – 12:00 pm		
Course Is	√ Required	• Elective	

Tentative Topics Covered	
Week No	Topic
1 & 2	Chapter 1: Computer System Organization
3	Chapter 2: Operating System Structures
4	Chapter 3: Processes
5	Chapter 4: Threads
6 & 7	Chapter 5: Process Synchronization
8 & 9	Chapter 6: CPU Scheduling
10	Chapter 8: Memory
11	Chapter 9: Virtual Memory
12	Chapter 10: Mass-Storage Systems
13	Final Review

Evaluation	
Assessment Tool	Marks
- First Exam	20
- Second Exam	20
- Assignments (Reports, Quiz, Seminar, Tutorial, etc.) **- Discipline, presence and participation**	10
- Final Examination	50

Chapter 1: Introduction



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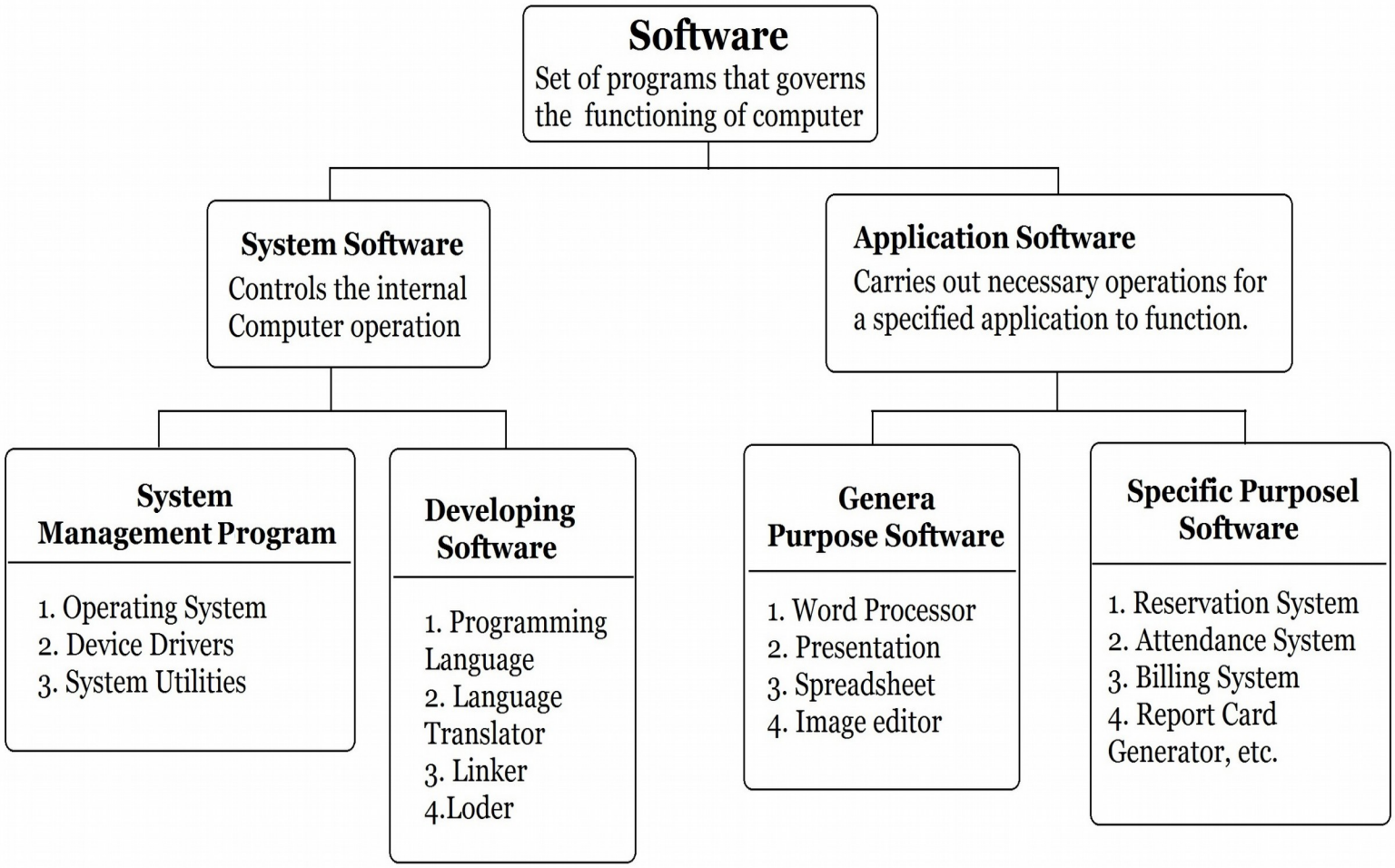
Computer Software

- Computer hardware is useless without software.
- Software is the set of instructions and associated data that direct the computer to do a task.
- Software can be divided into two categories:
- system software and application software.
- System software helps the computer to carry out its basic operating tasks.
- Application software helps the user carry out a variety of tasks.

Computer Software



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System Software

- Manages the fundamental operations of the computer, such as
  - ✓ loading programs and data into memory,
  - ✓ executing programs, saving data to disks,
  - ✓ displaying information on the monitor, and
  - ✓ transmitting data through a port to a peripheral device.
- System software: operating systems, utilities, device drivers.

Operating System

- Collection of computer programs that control the interaction of the user and the computer hardware.
- Responsible for directing all computer operations and managing all computer resources.
- Controls basic input and output, allocates system resources, manages storage space, maintains security, and detects equipment failure.
- A part of the operating system code is stored in a ROM and the rest of it resides on a disk.
- Loading the operating system into memory is called booting the computer.

Responsibilities of Operating System

- Communicate with user, receive and execute commands, show error messages.
- Manage allocation of memory, processor time and other resources.
- Collect input from keyboard, mouse, and provide data to running programs.
- Convey program output to screen, printer, or other output device.
- Access data from secondary storage.
- Write data to secondary storage.
- Maintains security (checks user-name , password, virus infection)

Operating System

- Software that controls all hardware operations
- Manages resources such as the CPU and RAM
- The first software that must be installed on a computer
- The first software that is loaded into memory when you start up the computer
- Examples: Windows 7, Windows 8, Windows 10, Linux, Mac os

What is an Operating System?

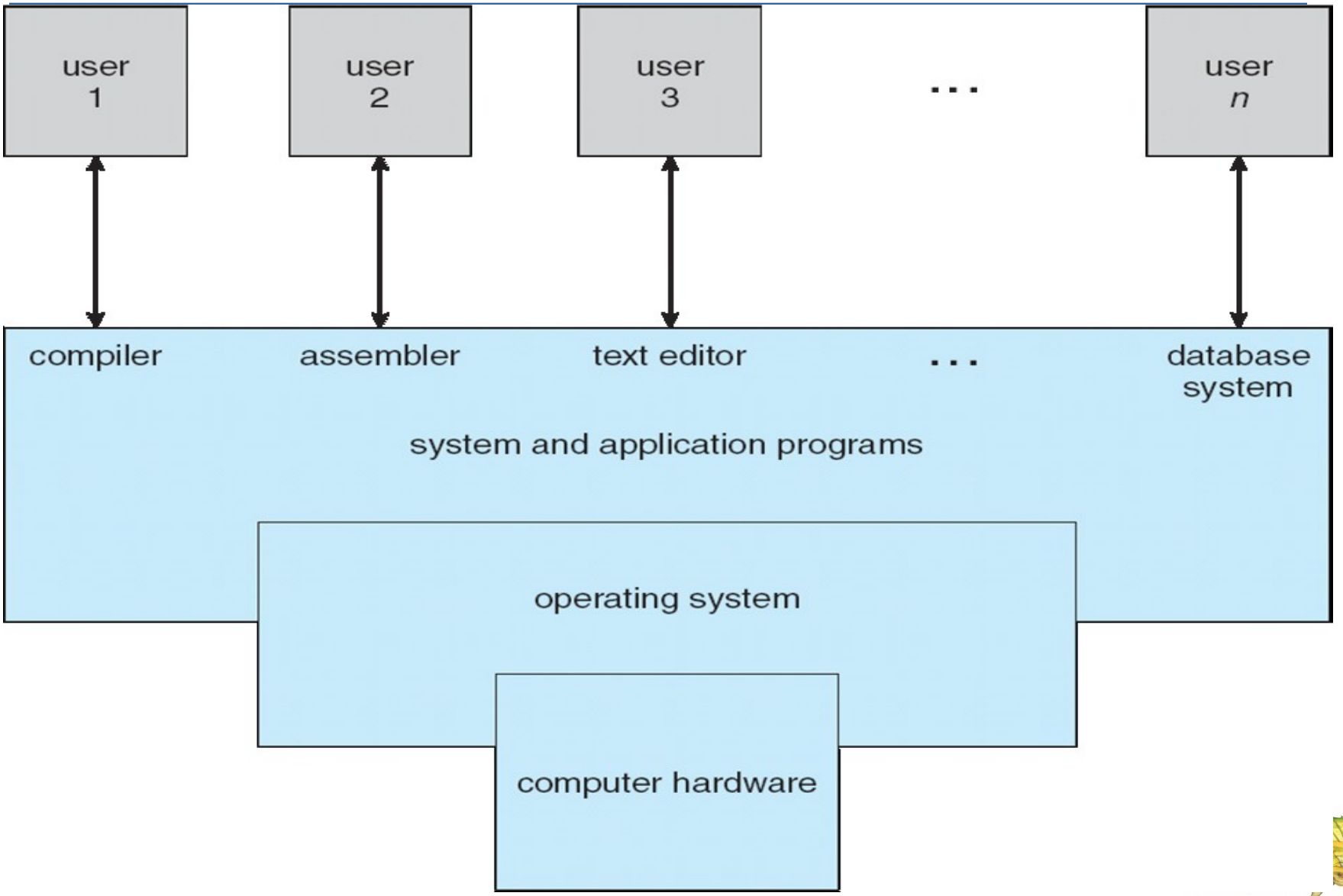
- A program that acts as an intermediary between a user of a computer and the computer hardware
- Operating system goals:
  - Execute user programs and make solving user problems easier
  - Make the computer system convenient to use
  - Use the computer hardware in an efficient manner

Computer System Structure

➔ Computer system can be divided into four components:

- Hardware – provides basic computing resources
  - CPU, memory, I/O devices
- Operating system
  - Controls and coordinates use of hardware among various applications and users
- Application programs – define the ways in which the system resources are used to solve the computing problems of the users
  - Word processors, compilers, web browsers, database systems, video games
- Users
  - People, machines, other computers

Four Components of a Computer System



Operating System Definition

- OS is a **resource allocator**
  - Manages all resources
  - Decides between conflicting requests for efficient and fair resource use
- OS is a **control program**
  - Controls execution of programs to prevent errors and improper use of the computer

Operating System Definition (Cont.)

- No universally accepted definition
- "Everything a vendor ships when you order an operating system" is a good approximation
  - But varies wildly
- "The one program running at all times on the computer" is the **kernel**.
- Everything else is either
  - a system program (ships with the operating system) , or
  - an application program.

Kernel

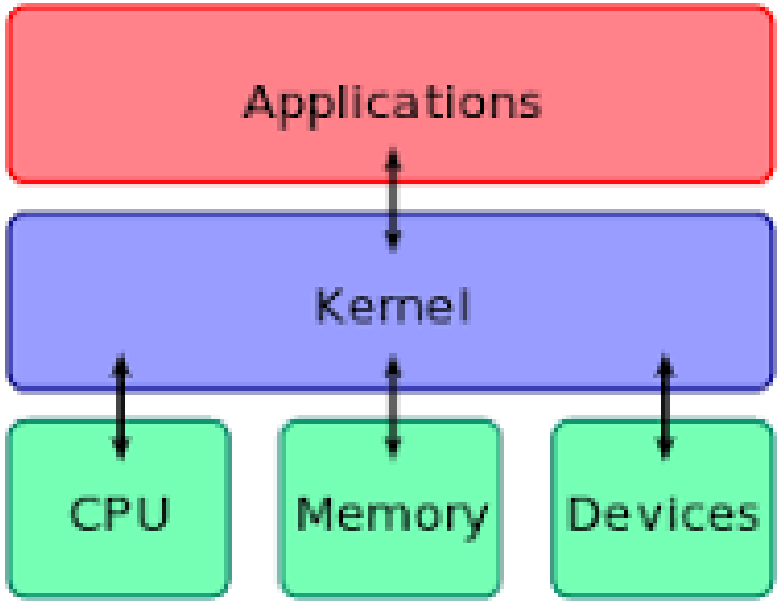
- Definition from Wikipedia, the free encyclopedia

A kernel is the central part of an operating system. It manages the operations of the computer and the hardware - most notably memory and CPU time.

- The kernel is the most fundamental part of an operating system. It can be thought of as the program which controls all other programs on the computer. When the computer starts, it goes through some initialization (booting) function, such as checking memory. It is responsible for assigning and unassigning memory space which allows software to run.

Kernel

- It provides services so programs can request the use of the network card, the disk or other piece of hardware (the kernel forwards the request to special programs called device drivers which control the hardware), manages the file system and sets interrupts for the CPU to enable multitasking.

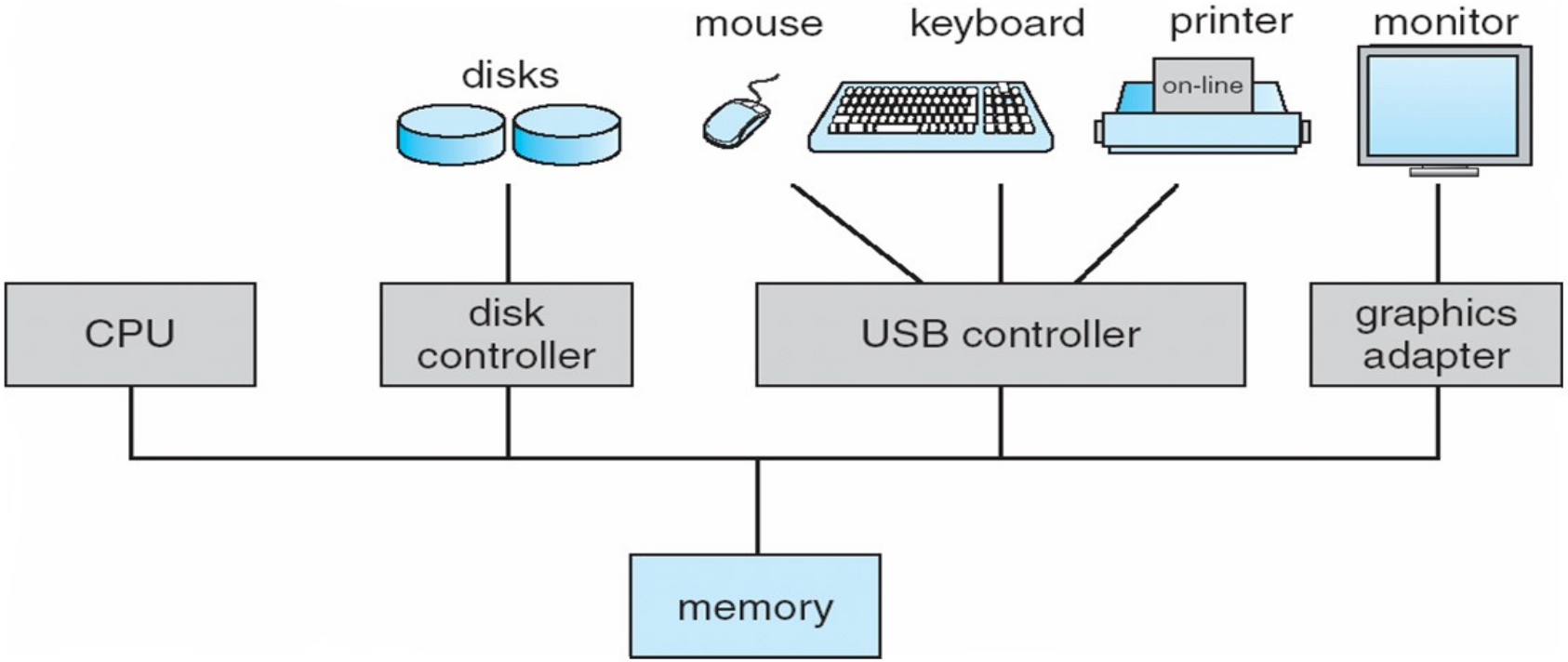


Computer Startup

- **Bootstrap program** is loaded at power-up or reboot
  - Typically stored in ROM or EPROM, generally known as **firmware**
  - Initializes all aspects of system
  - Loads operating system kernel and starts execution
- **Definition - What does *Bootstrap* mean?**
  - A bootstrap is the program that initializes the operating system (OS) during startup.
  - Bootstrapping is the process of loading a set of instructions when a computer is first turned on or booted.

Computer System Organization

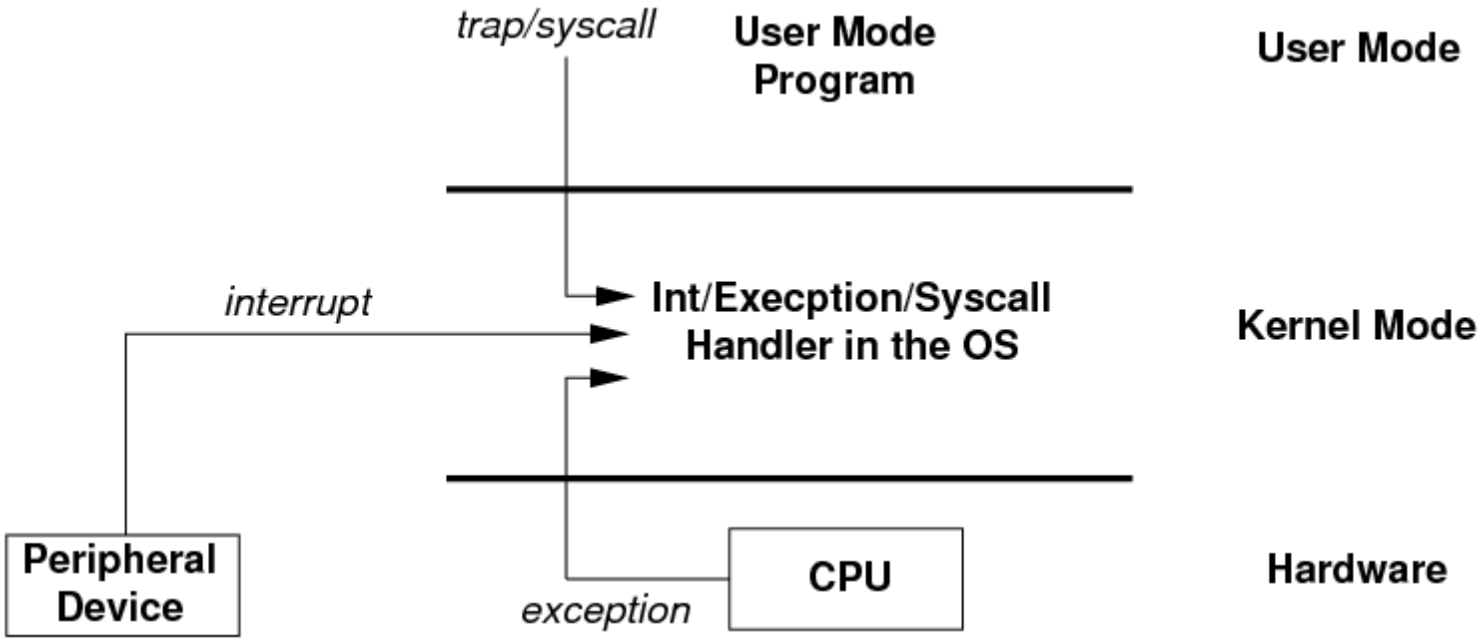
- Computer-system operation
  - One or more CPUs, device controllers connect through common bus providing access to shared memory
  - Concurrent execution of CPUs and devices competing for memory cycles



Computer-System Operation

- I/O devices and the CPU can execute concurrently
- Each device controller is in charge of a particular device type
- Each device controller has a local buffer
- CPU moves data from/to main memory to/from local buffers
- I/O is from the device to local buffer of controller
- Device controller informs CPU that it has finished its operation by causing an interrupt

Interrupt


trap/syscall
User Mode Program
User Mode
interrupt
Int/Execption/Syscall Handler in the OS
Kernel Mode
Peripheral Device
exception
CPU
Hardware

Common Functions of Interrupts

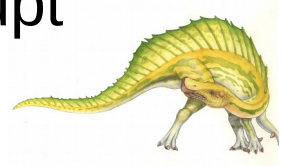
- Interrupt transfers control to the interrupt service routine generally, through the **interrupt vector**, which contains the addresses of all the service routines
- Interrupt architecture must save the address of the interrupted instruction
- A **trap** or **exception** is a software-generated interrupt caused either by an error or a user request
- An operating system is **interrupt driven**

Interrupt Handling

- The operating system preserves the state of the CPU by storing registers and the program counter
- Determines which type of interrupt has occurred:
  - **polling**
  - **vectored** interrupt system
- Separate segments of code determine what action should be taken for each type of interrupt

I/O Structure

- After I/O starts, control returns to user program only upon I/O completion
  - Wait instruction idles the CPU until the next interrupt
  - Wait loop (contention for memory access)
  - At most one I/O request is outstanding at a time, no simultaneous I/O processing
- After I/O starts, control returns to user program without waiting for I/O completion
  - **System call** – request to the OS to allow user to wait for I/O completion
  - **Device-status table** contains entry for each I/O device indicating its type, address, and state
  - OS indexes into I/O device table to determine device status and to modify table entry to include interrupt



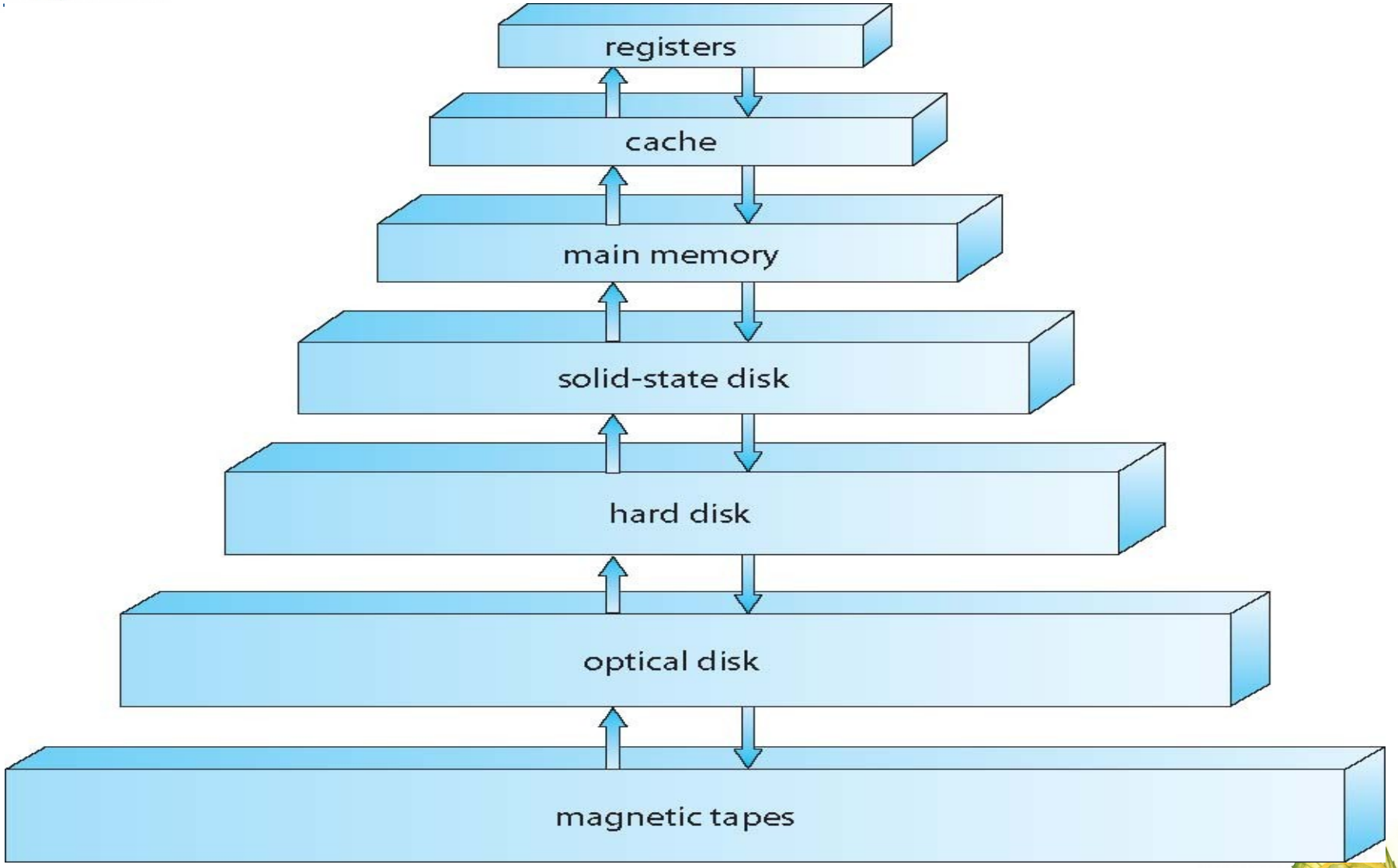
Storage Structure

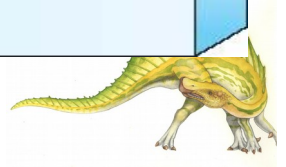
- Main memory – only large storage media that the CPU can access directly
  - **Random access**
  - Typically **volatile**
- Secondary storage – extension of main memory that provides large **nonvolatile** storage capacity
- Hard disks – rigid metal or glass platters covered with magnetic recording material
  - Disk surface is logically divided into **tracks**, which are subdivided into **sectors**
  - The **disk controller** determines the logical interaction between the device and the computer
- **Solid-state disks** – faster than hard disks, nonvolatile
  - Various technologies
  - Becoming more popular

Storage Hierarchy

- Storage systems organized in hierarchy
  - Speed
  - Cost
  - Volatility
- **Caching** – copying information into faster storage system; main memory can be viewed as a cache for secondary storage
- **Device Driver** for each device controller to manage I/O
  - Provides uniform interface between controller and kernel

Storage-Device Hierarchy





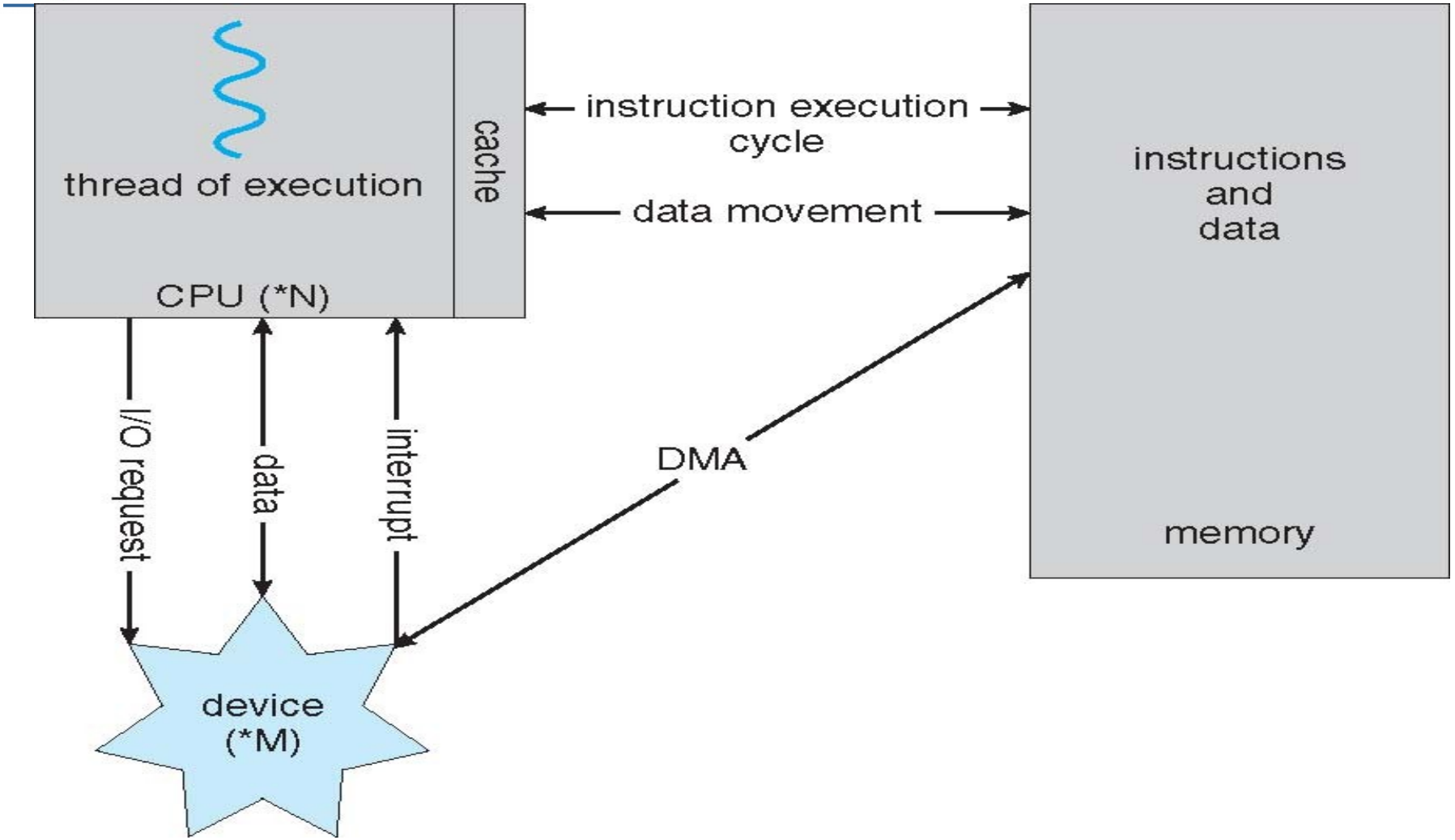
Caching

- Important principle, performed at many levels in a computer (in hardware, operating system, software)
- Information in use copied from slower to faster storage temporarily
- Faster storage (cache) checked first to determine if information is there
  - If it is, information used directly from the cache (fast)
  - If not, data copied to cache and used there
- Cache smaller than storage being cached
  - Cache management important design problem
  - Cache size and replacement policy

Direct Memory Access Structure

- Used for high-speed I/O devices able to transmit information at close to memory speeds
- Device controller transfers blocks of data from buffer storage directly to main memory without CPU intervention
- Only one interrupt is generated per block, rather than the one interrupt per byte

How a Modern Computer Works

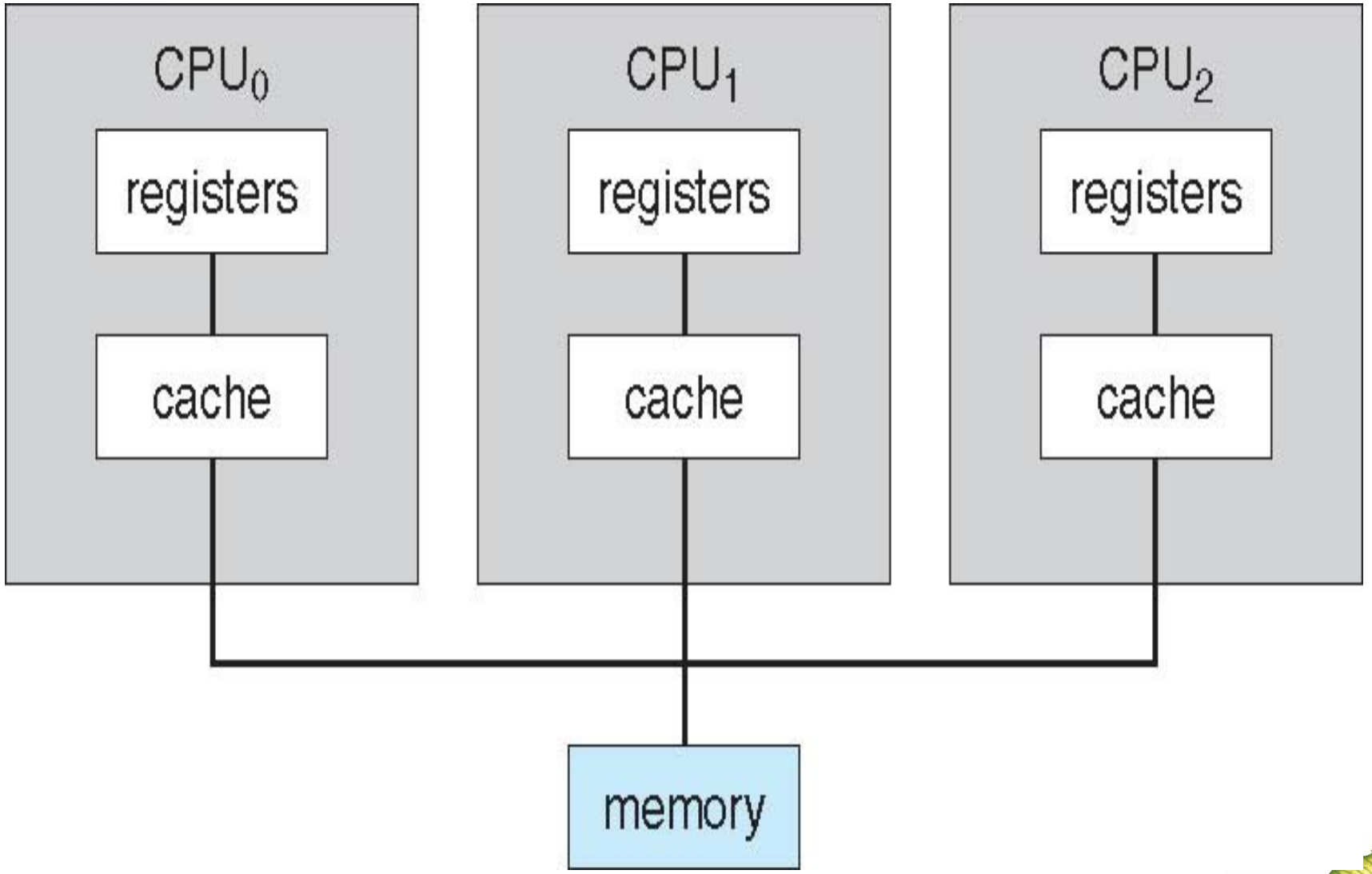


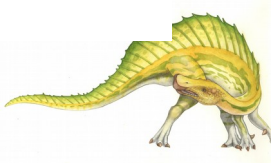
A von Neumann architecture

Computer-System Architecture

- Most systems use a single general-purpose processor
  - Most systems have special-purpose processors as well
- **Multiprocessors** systems growing in use and importance
  - Also known as **parallel systems**, **tightly-coupled systems**
  - Advantages include:
    1. **Increased throughput**
    2. **Economy of scale**
    3. **Increased reliability** – graceful degradation or fault tolerance
  - Two types:
    1. **Asymmetric Multiprocessing** – each processor is assigned a specie task.
    2. **Symmetric Multiprocessing** – each processor performs all tasks

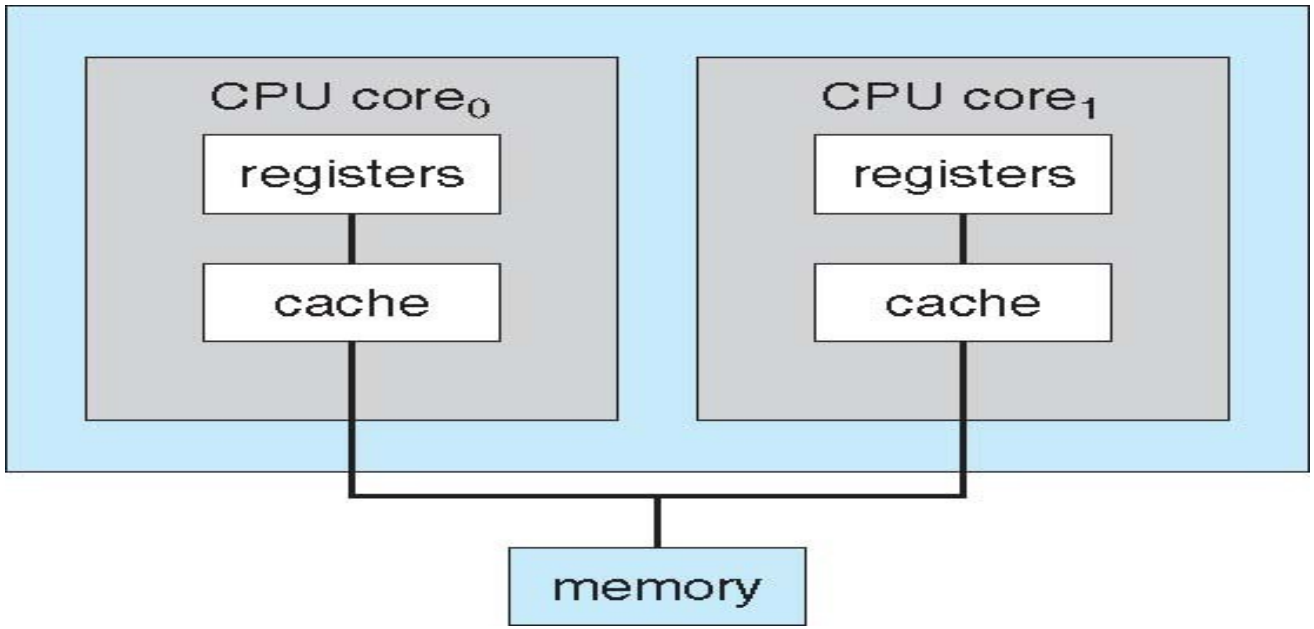
Symmetric Multiprocessing Architecture





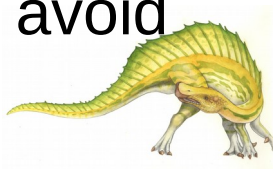
A Dual-Core Design

- Multi-chip and **multicore**
- Systems containing all chips
  - Chassis containing multiple separate systems

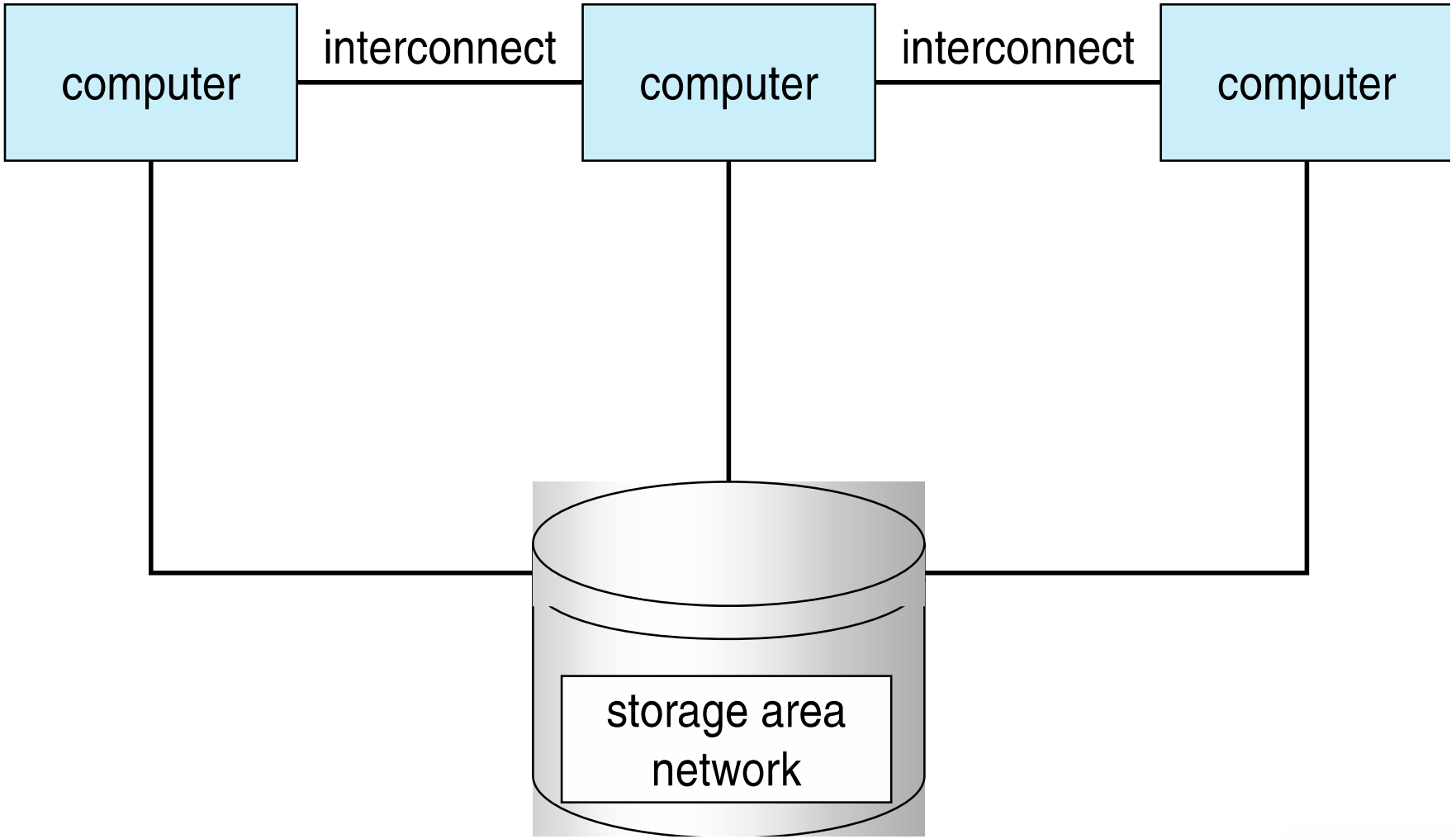


Clustered Systems

- Like multiprocessor systems, but multiple systems working together
  - Usually sharing storage via a **storage-area network (SAN)**
  - Provides a **high-availability** service which survives failures
    - **Asymmetric clustering** has one machine in hot-standby mode
    - **Symmetric clustering** has multiple nodes running applications, monitoring each other
  - Some clusters are for **high-performance computing (HPC)**
    - Applications must be written to use **parallelization**
  - Some have **distributed lock manager** (**DLM**) to avoid conflicting operations

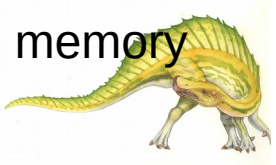


Clustered Systems



Operating System Structure

- **Multiprogramming** (**Batch system**) needed for efficiency
  - Single user cannot keep CPU and I/O devices busy at all times
  - Multiprogramming organizes jobs (code and data) so CPU always has one to execute
  - A subset of total jobs in system is kept in memory
  - One job selected and run via **job scheduling**
  - When it has to wait (for I/O for example), OS switches to another job
- **Timesharing** (**multitasking**) is logical extension in which CPU switches jobs so frequently that users can interact with each job while it is running, creating **interactive** computing
  - **Response time** should be < 1 second
  - Each user has at least one program executing in memory **process**
  - If several jobs ready to run at the same time **CPU scheduling**
  - If processes don't fit in memory, **swapping** moves them in and out to run
  - **Virtual memory** allows execution of processes not completely in memory



Operating-System Operations

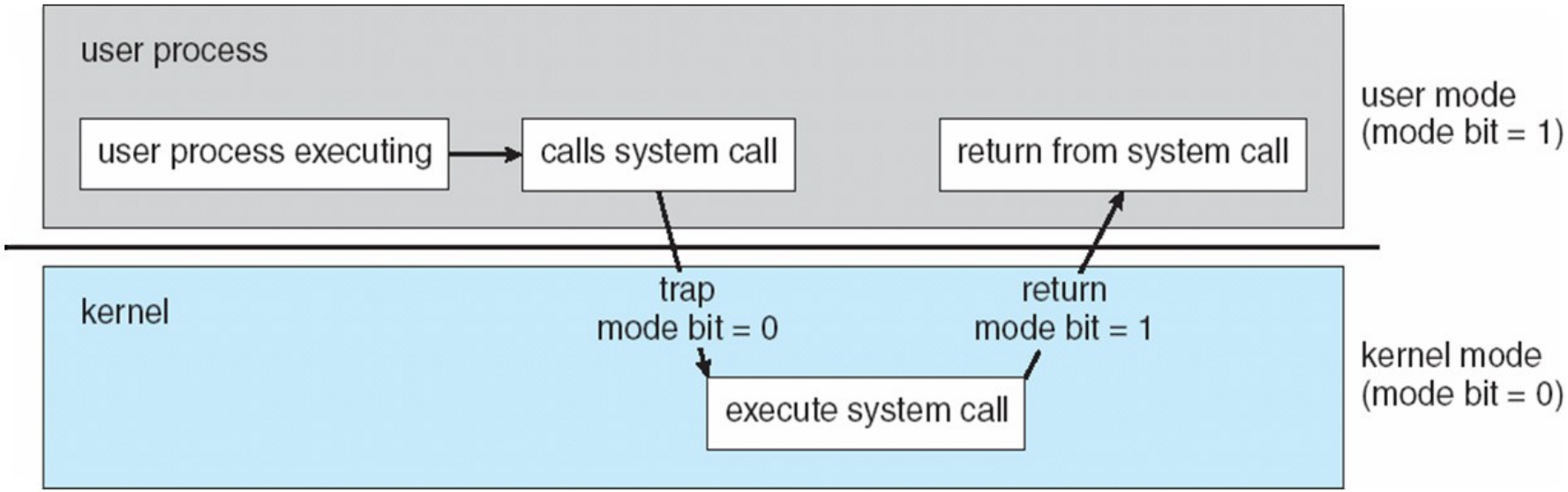
- **Interrupt driven** (hardware and software)
  - Hardware interrupt by one of the devices
  - Software interrupt (**exception** or **trap):**
    - Software error (e.g., division by zero)
    - Request for operating system service
    - Other process problems include infinite loop, processes modifying each other or the operating system

Operating-System Operations (cont.)

- **Dual-mode** operation allows OS to protect itself and other system components
  - **User mode** and **kernel mode**
  - **Mode bit** provided by hardware
    - Provides ability to distinguish when system is running user code or kernel code
    - Some instructions designated as **privileged**, only executable in kernel mode
    - System call changes mode to kernel, return from call resets it to user
- Increasingly CPUs support multi-mode operations
  - i.e. **virtual machine manager** (**VMM**) mode for guest **VMs**

Transition from User to Kernel Mode

- Timer to prevent infinite loop / process hogging resources
  - Timer is set to interrupt the computer after some time period
  - Keep a counter that is decremented by the physical clock.
  - Operating system set the counter (privileged instruction)
  - When counter zero generate an interrupt
  - Set up before scheduling process to regain control or terminate program that exceeds allotted time



Process Management

- A process is a program in execution. It is a unit of work within the system. Program is a ***passive entity***, process is an ***active entity***.
- Process needs resources to accomplish its task
  - CPU, memory, I/O, files
  - Initialization data
- Process termination requires reclaim of any reusable resources
- Single-threaded process has one **program counter** specifying location of next instruction to execute
  - Process executes instructions sequentially, one at a time, until completion
- Multi-threaded process has one program counter per thread
- Typically system has many processes, some user, some operating system running concurrently on one or more CPUs
  - Concurrency by multiplexing the CPUs among the processes / threads

Process Management Activities

The operating system is responsible for the following activities in connection with process management:

- Creating and deleting both user and system processes
- Suspending and resuming processes
- Providing mechanisms for process synchronization
- Providing mechanisms for process communication
- Providing mechanisms for deadlock handling

Memory Management

- To execute a program all (or part) of the instructions must be in memory
- All (or part) of the data that is needed by the program must be in memory.
- Memory management determines what is in memory and when
  - Optimizing CPU utilization and computer response to users
- Memory management activities
  - Keeping track of which parts of memory are currently being used and by whom
  - Deciding which processes (or parts thereof) and data to move into and out of memory
  - Allocating and deallocating memory space as needed

Storage Management

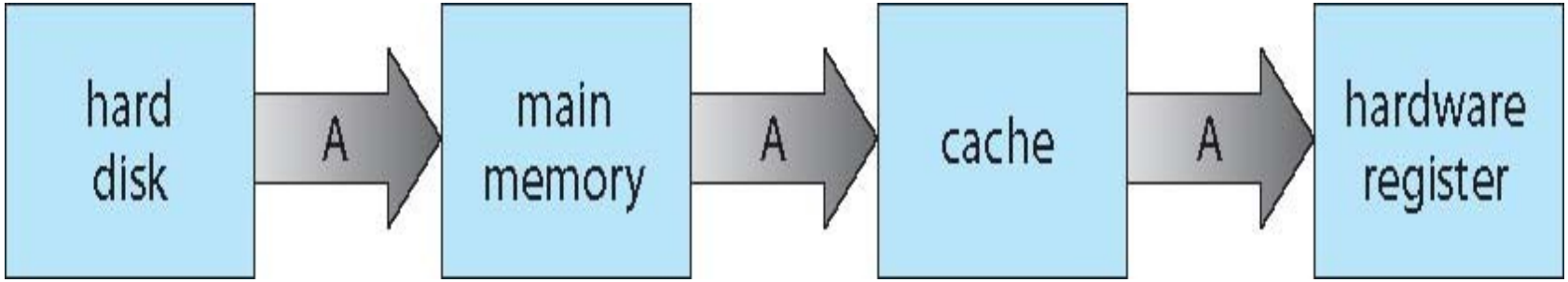
- OS provides uniform, logical view of information storage
  - Abstracts physical properties to logical storage unit - **file**
  - Each medium is controlled by device (i.e., disk drive, tape drive)
    - Varying properties include access speed, capacity, data-transfer rate, access method (sequential or random)
- File-System management
  - Files usually organized into directories
  - Access control on most systems to determine who can access what
  - OS activities include
    - Creating and deleting files and directories
    - Primitives to manipulate files and directories
    - Mapping files onto secondary storage
    - Backup files onto stable (non-volatile) storage media

Mass-Storage Management

- Usually disks used to store data that does not fit in main memory or data that must be kept for a “long” period of time
- Proper management is of central importance
- Entire speed of computer operation hinges on disk subsystem and its algorithms
- OS activities
  - Free-space management
  - Storage allocation
  - Disk scheduling
- Some storage need not be fast
  - Tertiary storage includes optical storage, magnetic tape
  - Still must be managed – by OS or applications
  - Varies between WORM (write-once, read-many-times) and RW (read-write)

Migration of data “A” from Disk to Register

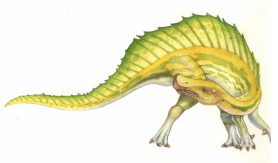
- Multitasking environments must be careful to use most recent value, no matter where it is stored in the storage hierarchy



- Multiprocessor environment must provide **cache coherency** in hardware such that all CPUs have the most recent value in their cache

I/O Subsystem

- One purpose of OS is to hide peculiarities of hardware devices from the user
- I/O subsystem responsible for
  - Memory management of I/O including buffering (storing data temporarily while it is being transferred), caching (storing parts of data in faster storage for performance), spooling (the overlapping of output of one job with input of other jobs)
  - General device-driver interface
  - Drivers for specific hardware devices



Protection and Security

- **Protection** – any mechanism for controlling access of processes or users to resources defined by the OS
- **Security** – defense of the system against internal and external attacks
  - Huge range, including denial-of-service, worms, viruses, identity theft, theft of service
- Systems generally first distinguish among users, to determine who can do what
  - User identities (**user IDs**, security IDs) include name and associated number, one per user
  - User ID then associated with all files, processes of that user to determine access control
  - Group identifier (**group ID**) allows set of users to be defined and controls managed, then also associated with each process, file
  - **Privilege escalation** allows user to change to effective ID with more rights

Kernel Data Structures

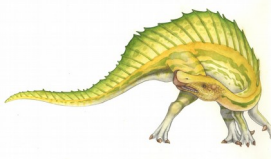
- Many similar to standard programming data structures
- ***Singly linked list***
- ***Doubly linked list***
- ***Circular linked list***
- ***Binary search tree***
  left <= right
  - Search performance is *O(n)*
  - **Balanced binary search tree** is *O(lg n)*
- ***Hash function can create a hash map***
- ***Bitmap – string of n binary digits representing the status of n items***

Computing Environments - Traditional

- Stand-alone general purpose machines
- But blurred as most systems interconnect with others (i.e., the Internet)
- **Portals** provide web access to internal systems
- **Network computers** (**thin clients**) are like Web terminals
- Mobile computers interconnect via **wireless networks**
- Networking becoming ubiquitous – even home systems use **firewalls** to protect home computers from Internet attacks

Computing Environments - Mobile

- Handheld smartphones, tablets, etc
- What is the functional difference between them and a “traditional” laptop?
- Extra feature – more OS features (GPS, gyroscope)
- Allows new types of apps like ***augmented reality***
- Use IEEE 802.11 wireless, or cellular data networks for connectivity
- Leaders are **Apple iOS** and **Google Android**

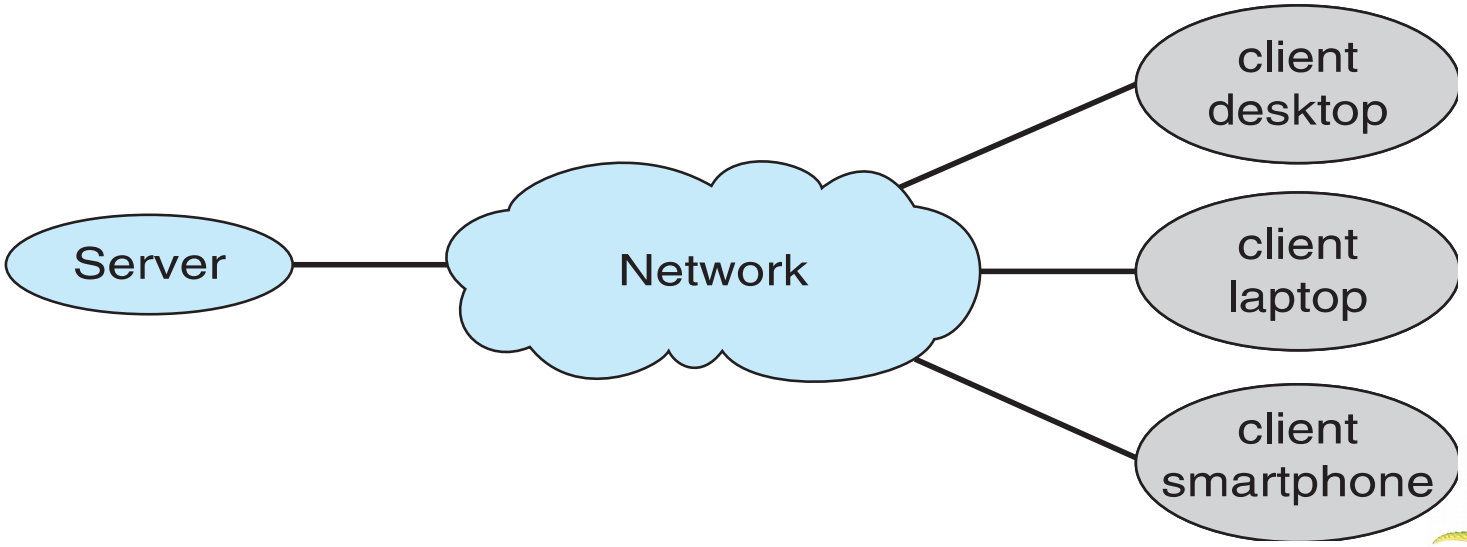


Computing Environments – Distributed

- Distributed computiing
  - Collection of separate, possibly heterogeneous, systems networked together
    - **Network** is a communications path, **TCP/IP** most common
      - **Local Area Network** (**LAN**)
      - **Wide Area Network** (**WAN**)
      - **Metropolitan Area Network** (**MAN**)
      - **Personal Area Network** (**PAN**)
  - **Network Operating System** provides features between systems across network
    - Communication scheme allows systems to exchange messages
    - Illusion of a single system

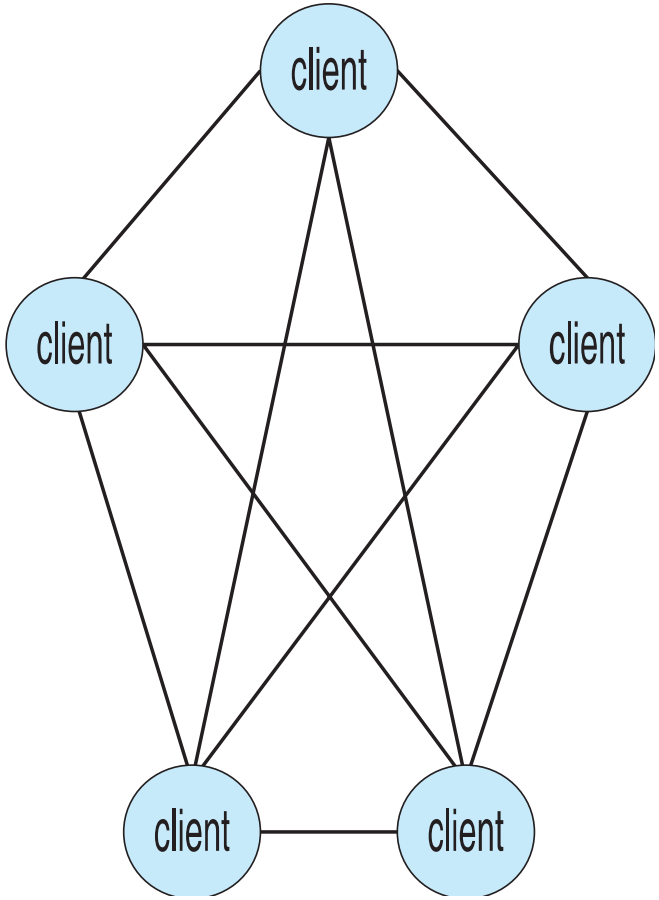
Computing Environments – Client-Server

- Client-Server Computing
  - Dumb terminals supplanted by smart PCs
  - Many systems now **servers**, responding to requests generated by **clients**
    - **Compute-server system** provides an interface to client to request services (i.e., database)
    - **File-server system** provides interface for clients to store and retrieve files



Computing Environments - Peer-to-Peer

- Another model of distributed system
- P2P does not distinguish clients and servers
  - Instead all nodes are considered peers
  - May each act as client, server or both
  - Node must join P2P network
    - Registers its service with central lookup service on network, or
    - Broadcast request for service and respond to requests for service via ***discovery protocol***
  - Examples include Napster and Gnutella, **Voice over IP** (**VoIP**) such as Skype



Computing Environments - Virtualization

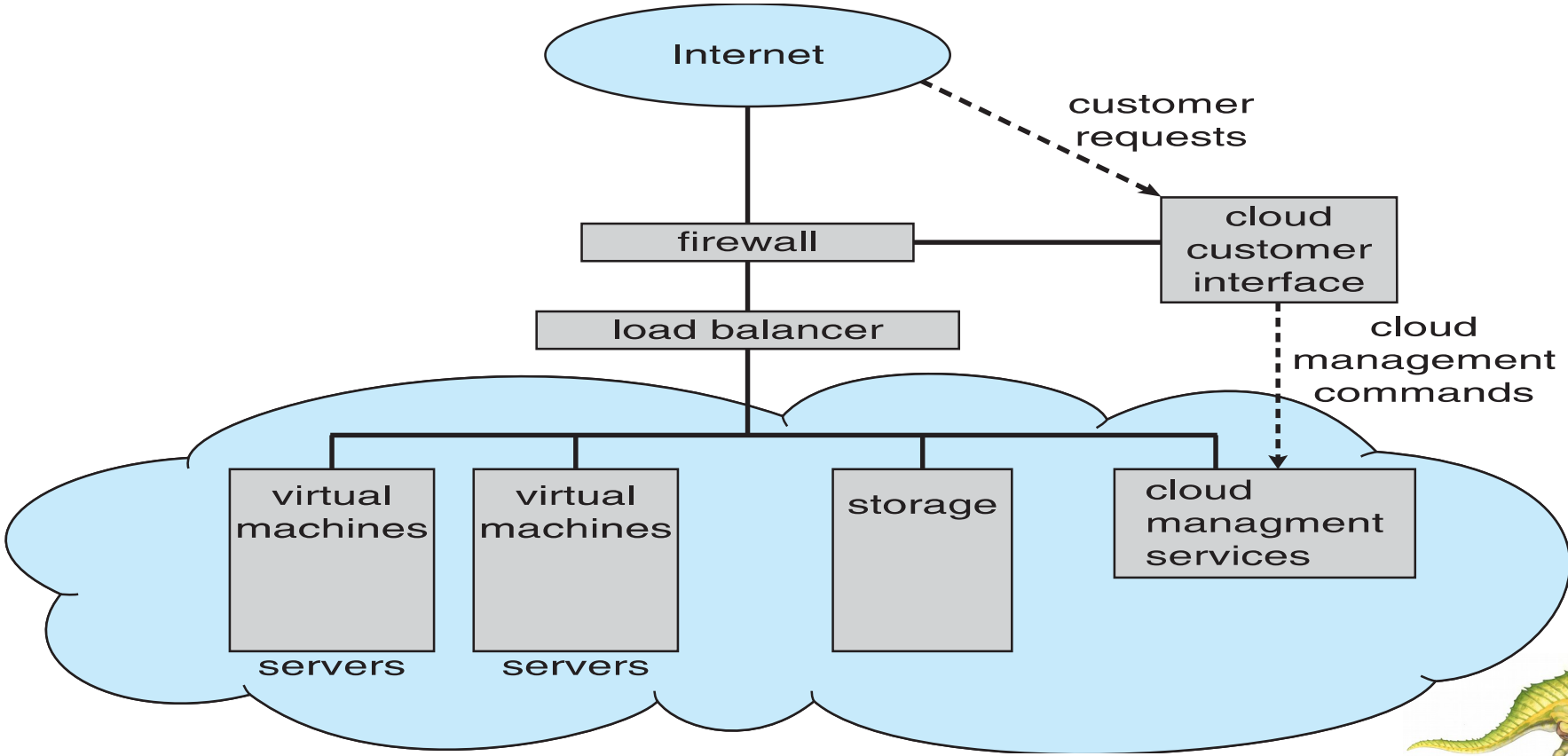
- Allows operating systems to run applications within other OSes
  - Vast and growing industry
- **Emulation** used when source CPU type different from target type (i.e. PowerPC to Intel x86)
  - Generally slowest method
  - When computer language not compiled to native code – **Interpretation**
- **Virtualization** – OS natively compiled for CPU, running **guest** OSes also natively compiled
  - Consider VMware running WinXP guests, each running applications, all on native WinXP **host** OS
  - **VMM** (virtual machine Manager) provides virtualization services

Computing Environments – Cloud Computing

- Delivers computing, storage, even apps as a service across a network
- Logical extension of virtualization because it uses virtualization as the base for it functionality.
  - Amazon **EC2** has thousands of servers, millions of virtual machines, petabytes of storage available across the Internet, pay based on usage
- Many types
  - **Public cloud** – available via Internet to anyone willing to pay
  - **Private cloud** – run by a company for the company's own use
  - **Hybrid cloud** – includes both public and private cloud components
  - Software as a Service (**SaaS**) – one or more applications available via the Internet (i.e., word processor)
  - Platform as a Service (**PaaS**) – software stack ready for application use via the Internet (i.e., a database server)
  - Infrastructure as a Service (**IaaS**) – servers or storage available over Internet (i.e., storage available for backup use)

Computing Environments – Cloud Computing

- Cloud computing environments composed of traditional OSes, plus VMMs, plus cloud management tools
  - Internet connectivity requires security like firewalls
  - Load balancers spread traffic across multiple applications



Computing Environments – Real-Time Embedded Systems

- Real-time embedded systems most prevalent form of computers
  - Vary considerable, special purpose, limited purpose OS, **real-time OS**
  - Use expanding
- Many other special computing environments as well
  - Some have OSes, some perform tasks without an OS
- Real-time OS has well-defined fixed time constraints
  - Processing ***must*** be done within constraint
  - Correct operation only if constraints met

Open-Source Operating Systems

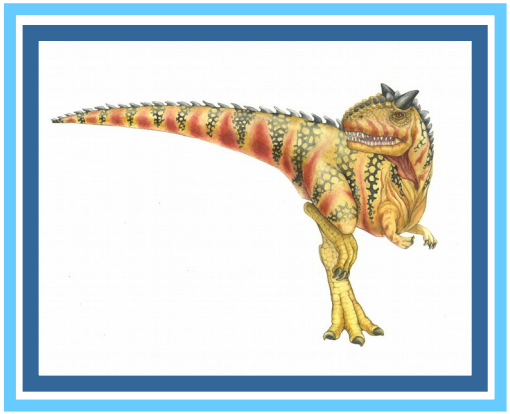
➔ Operating systems made available in source-code format rather than just binary **closed-source**

- Counter to the **copy protection** and **Digital Rights Management (DRM)** movement
- Started by **Free Software Foundation (FSF)**, which has "copyleft" **GNU Public License (GPL)**
- Examples include **GNU/Linux** and **BSD UNIX** (including core of **Mac OS X**), and many more
- Can use VMM like VMware Player (Free on Windows), Virtualbox (open source and free on many platforms - http://www.virtualbox.com)
  - Use to run guest operating systems for exploration

Extra – YouTube videos

- https://www.youtube.com/watch?v=u8ZCTbxulQw&index=2&list=PLHKTPL-jkzUqgHIBdC2I16QqZCSDN_6oS
- https://www.youtube.com/watch?v=JcJbPc3v5p0&list=PLHKTPL-jkzUqgHIBdC2I16QqZCSDN_6oS&index=3
- https://www.youtube.com/watch?v=wK0Rstq-Bzs&list=PLHKTPL-jkzUqgHIBdC2I16QqZCSDN_6oS&index=4
- https://www.youtube.com/watch?v=hNgjCnOWL-o&list=PLHKTPL-jkzUqgHIBdC2I16QqZCSDN_6oS&index=5

End of Chapter 1



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